

**BCB BROKERAGE PRIVATE LIMITED**  
**POLICY FOR REDRESSAL OF INVESTOR GRIEVANCE**  
**(Applicable for all exchanges/segment)**  
**Version 2.0**

**1. Background**

BCB Brokerage Private Limited (BBPL) is registered with the Securities and Exchange Board of India (SEBI) as:

1. Stock Broker (SEBI Registration Number INZ000200633)
2. Merchant Banker (SEBI Registration Number INM000012078)
3. Depository Participant (SEBI Registration Number IN-DP-438-2019)

As a matter of good practice, the policy for redressal of investor grievances is made available for the benefit of the investors who deal with BBPL.

**2. Name and Details of the person in charge of handling investor grievances**

Mr. Uttam Bagri, Director is overall incharge of handling investor grievances at BBPL

**3. Procedures relating to Investor Grievance Register**

- Investor Grievance Register will be maintained at all places that deal with investor including the Head Office and all branches/ Authorized Persons office/ other offices that deal with Investor. The Register would contain
  - Date of Grievance
  - Affected Party details
  - Details of the Grievance

**4. Investor Grievance Email ID**

Email ID "InvestorGrievance@bcbbrokerage.com" has been designated as the Investor in compliance with the requirements of the SEBI circular ref. MRD/DoP/Dep/SE/Cir-22/06 dated 18-Dec-06.

**5. SEBI SCORES**

All grievances in SEBI Complaints Redress System (SCORES) shall be looked into by BBPL. Adequate steps will be taken for immediate redressal of grievances, but in any case not later than one month from the date of receipt of the complaint.

Sd/-

Uttam Bagri  
Director DIN 01379841  
BCB Brokerage Private Limited

The above revised policy was approved by BCB Brokerage Private Limited at the Board meeting held on 30-Sep-2021. The policy will be reviewed on an annual basis.