

Investor Complaint data of BCB Brokerage Private Ltd (SEBI Reg No.: INM000012078)
(as prescribed in Annexure B of SEBI Circular SEBI/HO/CFD/DCR2/P/CIR/2021/0661 dated 23rd November, 2021)

Investor Complaint data for the month ended March, 2024 (Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS))

Sr. No.	Received from	Pending as at the end of last month	Received during the month	*Resolved during the particular month	**Total Pending during the particular month	Pending complaints > 1 month	***Average Resolution time (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
	Grand Total	-	-	-	-	-	-

Trend of monthly disposal of Investor Complaints (Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS))

Sr. No.	Month	Carried forward from previous month	Received during the particular month	*Resolved during the particular month	**Pending at the end of the particular month
1	Jan-24	-	-	-	-
2	Feb-24	-	-	-	-
3	Mar-24	-	-	-	-
4	Apr-24				
5	May-24				
6	Jun-24				
7	Jul-24				
8	Aug-24				
9	Sep-24				
10	Oct-24				
11	Nov-24				
12	Dec-24				
	Grand Total	-	-	-	-

*Includes complaints of previous months resolved in the current month, if any

**Includes total complaints pending as on the last day of the month, if any

***Sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

Trend of annual disposal of complaints (Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS))

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023				
4	2024				
5	2025				
	Grand Total	-	-	-	-

Investor Complaint data for the month ended March, 2024 (Rights Issue)

Sr. No.	Received from	Pending as at the end of last month	Received during the month	*Resolved during the particular month	**Total Pending during the particular month	Pending complaints > 1 month	***Average Resolution time (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
	Grand Total	-	-	-	-	-	-

Trend of monthly disposal of Investor Complaints (Rights Issue)

Sr. No.	Month	Carried forward from previous month	Received during the particular month	*Resolved during the particular month	**Pending at the end of the particular month
1	Jan-24	-	-	-	-
2	Feb-24	-	-	-	-
3	Mar-24	-	-	-	-
4	Apr-24				
5	May-24				
6	Jun-24				
7	Jul-24				
8	Aug-24				
9	Sep-24				
10	Oct-24				
11	Nov-24				
12	Dec-24				
	Grand Total	-	-	-	-

*Includes complaints of previous months resolved in the current month, if any

**Includes total complaints pending as on the last day of the month, if any

***Sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

Trend of annual disposal of complaints (Rights Issue)

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024				
5	2025				
	Grand Total	-	-	-	-

Investor Complaint data for the month ended March, 2024 (Qualified Institutions Placement (QIP))

Sr. No.	Received from	Pending as at the end of last month	Received during the month	*Resolved during the particular month	**Total Pending during the particular month	Pending complaints > 1 month	***Average Resolution time (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
	Grand Total	-	-	-	-	-	-

Trend of monthly disposal of Investor Complaints (Qualified Institutions Placement (QIP))

Sr. No.	Month	Carried forward from previous month	Received during the particular month	*Resolved during the particular month	**Pending at the end of the particular month
1	Jan-24	-	-	-	-
2	Feb-24	-	-	-	-
3	Mar-24	-	-	-	-
4	Apr-24				
5	May-24				
6	Jun-24				
7	Jul-24				
8	Aug-24				
9	Sep-24				
10	Oct-24				
11	Nov-24				
12	Dec-24				
	Grand Total	-	-	-	-

*Includes complaints of previous months resolved in the current month, if any

**Includes total complaints pending as on the last day of the month, if any

***Sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

Trend of annual disposal of complaints (Qualified Institutions Placement (QIP))

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024				
5	2025				
	Grand Total	-	-	-	-

Investor Complaint data for the month ended March, 2024 (Preferential Issue)

Sr. No.	Received from	Pending as at the end of last month	Received during the month	*Resolved during the particular month	**Total Pending during the particular month	Pending complaints > 1 month	***Average Resolution time (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
	Grand Total	-	-	-	-	-	-

Trend of monthly disposal of Investor Complaints (Preferential Issue)

Sr. No.	Month	Carried forward from previous month	Received during the particular month	*Resolved during the particular month	**Pending at the end of the particular month
1	Jan-24	-	-	-	-
2	Feb-24	-	-	-	-
3	Mar-24	-	-	-	-
4	Apr-24				
5	May-24				
6	Jun-24				
7	Jul-24				
8	Aug-24				
9	Sep-24				
10	Oct-24				
11	Nov-24				
12	Dec-24				
	Grand Total	-	-	-	-

*Includes complaints of previous months resolved in the current month, if any

**Includes total complaints pending as on the last day of the month, if any

***Sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

Trend of annual disposal of complaints (Preferential Issue)

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024				
5	2025				
	Grand Total	-	-	-	-

Investor Complaint data for the month ended March, 2024 (SME IPO and FPO including OFS)

Sr. No.	Received from	Pending as at the end of last month	Received during the month	*Resolved during the particular month	**Total Pending during the particular month	Pending complaints > 1 month	***Average Resolution time (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
	Grand Total	-	-	-	-	-	-

Trend of monthly disposal of Investor Complaints (SME IPO and FPO including OFS)

Sr. No.	Month	Carried forward from previous month	Received during the particular month	*Resolved during the particular month	**Pending at the end of the particular month
1	Jan-24	-	-	-	-
2	Feb-24	-	-	-	-
3	Mar-24	-	-	-	-
4	Apr-24				
5	May-24				
6	Jun-24				
7	Jul-24				
8	Aug-24				
9	Sep-24				
10	Oct-24				
11	Nov-24				
12	Dec-24				
	Grand Total	-	-	-	-

*Includes complaints of previous months resolved in the current month, if any

**Includes total complaints pending as on the last day of the month, if any

***Sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

Trend of annual disposal of complaints (SME IPO and FPO including OFS)

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024				
5	2025				
	Grand Total	-	-	-	-

Investor Complaint data for the month ended March, 2024 (Buyback of Securities)

Sr. No.	Received from	Pending as at the end of last month	Received during the month	*Resolved during the particular month	**Total Pending during the particular month	Pending complaints > 1 month	***Average Resolution time (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
	Grand Total	-	-	-	-	-	-

Trend of monthly disposal of Investor Complaints (Buyback of Securities)

Sr. No.	Month	Carried forward from previous month	Received during the particular month	*Resolved during the particular month	**Pending at the end of the particular month
1	Jan-24	-	-	-	-
2	Feb-24	-	-	-	-
3	Mar-24	-	-	-	-
4	Apr-24				
5	May-24				
6	Jun-24				
7	Jul-24				
8	Aug-24				
9	Sep-24				
10	Oct-24				
11	Nov-24				
12	Dec-24				
	Grand Total	-	-	-	-

*Includes complaints of previous months resolved in the current month, if any

**Includes total complaints pending as on the last day of the month, if any

***Sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

Trend of annual disposal of complaints (Buyback of Securities)

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024				
5	2025				
	Grand Total	-	-	-	-

Investor Complaint data for the month ended March, 2024 (Delisting of Equity Shares)

Sr. No.	Received from	Pending as at the end of last month	Received during the month	*Resolved during the particular month	**Total Pending during the particular month	Pending complaints > 1 month	***Average Resolution time (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
	Grand Total	-	-	-	-	-	-

Trend of monthly disposal of Investor Complaints (Delisting of Equity Shares)

Sr. No.	Month	Carried forward from previous month	Received during the particular month	*Resolved during the particular month	**Pending at the end of the particular month
1	Jan-24	-	-	-	-
2	Feb-24	-	-	-	-
3	Mar-24	-	-	-	-
4	Apr-24				
5	May-24				
6	Jun-24				
7	Jul-24				
8	Aug-24				
9	Sep-24				
10	Oct-24				
11	Nov-24				
12	Dec-24				
	Grand Total	-	-	-	-

*Includes complaints of previous months resolved in the current month, if any

**Includes total complaints pending as on the last day of the month, if any

***Sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

Trend of annual disposal of complaints (Delisting of Equity Shares)

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024				
5	2025				
	Grand Total	-	-	-	-

Investor Complaint data for the month ended March, 2024 (Substantial Acquisitions of Shares and Takeovers)

Sr. No.	Received from	Pending as at the end of last month	Received during the month	*Resolved during the particular month	**Total Pending during the particular month	Pending complaints > 1 month	***Average Resolution time (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
	Grand Total	-	-	-	-	-	-

Trend of monthly disposal of Investor Complaints (Substantial Acquisitions of Shares and Takeovers)

Sr. No.	Month	Carried forward from previous month	Received during the particular month	*Resolved during the particular month	**Pending at the end of the particular month
1	Jan-24	-	-	-	-
2	Feb-24	-	-	-	-
3	Mar-24	-	-	-	-
4	Apr-24				
5	May-24				
6	Jun-24				
7	Jul-24				
8	Aug-24				
9	Sep-24				
10	Oct-24				
11	Nov-24				
12	Dec-24				
	Grand Total	-	-	-	-

*Includes complaints of previous months resolved in the current month, if any

**Includes total complaints pending as on the last day of the month, if any

***Sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

Trend of annual disposal of complaints (Substantial Acquisitions of Shares and Takeovers)

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024				
5	2025				
	Grand Total	-	-	-	-

Investor Complaint data for the month ended March, 2024 Consolidated Report (Primary Market Issues and Exit Offers[^])

Sr. No.	Received from	Pending as at the end of last month	Received during the month	*Resolved during the particular month	**Total Pending during the particular month	Pending complaints > 1 month	***Average Resolution time (in days)
1	Directly from Investors	-	-	-	-	-	-
2	SEBI (SCORES)	-	-	-	-	-	-
3	Stock Exchanges	-	-	-	-	-	-
4	Other Sources (if any)	-	-	-	-	-	-
	Grand Total	-	-	-	-	-	-

Trend of monthly disposal of Investor Complaints Consolidated Report (Primary Market Issues and Exit Offers[^])

Sr. No.	Month	Carried forward from previous month	Received during the particular month	*Resolved during the particular month	**Pending at the end of the particular month
1	Jan-24	-	-	-	-
2	Feb-24	-	-	-	-
3	Mar-24	-	-	-	-
4	Apr-24				
5	May-24				
6	Jun-24				
7	Jul-24				
8	Aug-24				
9	Sep-24				
10	Oct-24				
11	Nov-24				
12	Dec-24				
	Grand Total	-	-	-	-

*Includes complaints of previous months resolved in the current month, if any

**Includes total complaints pending as on the last day of the month, if any

***Sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month

Trend of annual disposal of complaints Consolidated Report (Primary Market Issues and Exit Offers[^])

Sr. No.	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021	-	-	-	-
2	2022	-	-	-	-
3	2023	-	-	-	-
4	2024				
5	2025				
	Grand Total	-	-	-	-

[^] includes Initial Public Offer (IPO) and Further Public Offer (FPO) including Offer for Sale (OFS); Rights Issue; Qualified Institutions Placement (QIP); Preferential Issue; SME IPO and FPO including OFS; Buyback of Securities; Delisting of Equity Shares; Substantial Acquisitions of Shares and Takeovers
Note:

1. BCB Brokerage Pvt Ltd in its capacity as the SEBI registered Merchant Banker has not managed any Public issue/ Private Placement of debt securities since inception and therefore, no data has been disclosed as prescribed in Annexure B of SEBI Circular No. SEBI/HO/DDHS/P/CIR/2021/0669 dated 26-Nov-2021
2. BCB Brokerage Pvt Ltd in its capacity as the SEBI registered Merchant Banker has not managed any Public offer/ Private Placement of non-convertible redeemable preference shares since inception and therefore, no data has been disclosed as prescribed in Annexure B of SEBI Circular No. SEBI/HO/DDHS/P/CIR/2021/0669 dated 26-Nov-2021
3. BCB Brokerage Pvt Ltd in its capacity as the SEBI registered Merchant Banker has not managed any Private placements of Municipal debt securities since inception and therefore, no data has been disclosed as prescribed in Annexure B of SEBI Circular No. SEBI/HO/DDHS/DDHS_Div3/P/CIR/2021/0671 dated 26-Nov-2021
4. BCB Brokerage Pvt Ltd in its capacity as the SEBI registered Merchant Banker has not managed any Public offer of units by REITs since inception and therefore, no data has been disclosed as prescribed in Annexure B of SEBI Circular No. SEBI/HO/DDHS/DDHS_Div3/P/CIR/2021/672 dated 26-Nov-2021
5. BCB Brokerage Pvt Ltd in its capacity as the SEBI registered Merchant Banker has not managed any Public offer/ Private Placement of units by InvITs since inception and therefore, no data has been disclosed as prescribed in Annexure B of SEBI Circular No. SEBI/HO/DDHS/DDHS_Div3/P/CIR/2021/672 dated 26-Nov-2021